## Rate-Accelerating Effect by the Neighboring-Group Participation of Protecting Groups in the Dehydrative Cyclization of 1,3,5-Triketones

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## ABSTRACT



Neighboring-group participation of an acyl protecting group efficiently promotes the Brønsted acid-catalyzed dehydrative cyclization of 1,3,5-triketones to  $\gamma$ -pyrones, whereas a bulky silyloxy group in the  $\beta$ -position retards the cyclization. This reaction provides an efficient synthetic route for a common intermediate for the synthesis of  $\gamma$ -pyrone-containing bioactive natural products.

Polypropionate-derived  $\gamma$ -pyrones are very important heterocycles that have attracted considerable interest from chemists due to their unique structure and remarkable biological activities.<sup>1</sup> The biosynthesis of naturally occurring  $\gamma$ -pyrones appears to involve the dehydrative cyclization of 1,3,5-triketones. Although several methods have been reported for the cyclization of 1,3,5-triketones to  $\gamma$ -pyrones using stoichiometric dehydrating reagents,<sup>2,3</sup> few successful catalytic methods have been reported.

Recently, we reported that bulky diarylammonium pentafluorobenzenesulfonates effectively promoted the dehydrative cyclization of 1,3,5-triketones under reaction conditions without the removal of generated water. The dehydrative cyclization of 4,6-dimethylnonan-3,5,7-trione (1a) and 4,6,9-trimethyldecan-3,5,7-trione was effectively promoted by *N*-(2,6-diphenylphenyl)-*N*-mesitylammonium pentafluorobenzenesulfonate (3) to give the corresponding  $\gamma$ -pyrones in good yield.<sup>4-6</sup> However, the reactivity of 1c was very low, and the corresponding  $\gamma$ -pyrone 2c was obtained in only 53% yield (Scheme 1).  $\gamma$ -Pyrone 2c is one of the most important common intermediates for the synthesis of many  $\gamma$ -pyrone-containing bioactive compounds,<sup>7</sup> and the development of an efficient method for the synthesis of 2c is needed.

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We first examined the reactivities of various 1,3,5-triketones  $\mathbf{1}^8$  for dehydrative cyclization catalyzed by pentafluorobenzenesulfonic acid (C<sub>6</sub>F<sub>5</sub>SO<sub>3</sub>H, 5 mol %) in heptane under heating conditions without the removal of water (Table 1). 1,3,5-Triketone **1c** was much less reactive





<sup>*a*</sup> Reactions of **1** (0.1 mmol) were carried out with  $C_6F_5SO_3H$  (5 mol %) in heptane (2 mL) at 80 °C for 8 h without the removal of water. <sup>*b*</sup> Isolated yield. <sup>*c*</sup> Y, soluble in the reaction mixture; N, insoluble in the reaction mixture. <sup>*d*</sup> The reaction was conducted with methyl pivalate (1 equiv). <sup>*e*</sup> The reaction was conducted with **3** (5 mol %) instead of  $C_6F_5SO_3H$ . <sup>*f*</sup> The reaction was conducted for 24 h. <sup>*s*</sup> The reaction was carried out in toluene.

than **1a** (entries 1 and 4). When the reaction was conducted with **3** (5 mol %) instead of  $C_6F_5SO_3H$ , the reactivity of **1c** was slightly improved, but the yield of **2c** was still insufficient (53%, entry 5). One of the reasons for the low

reactivity of **1c** may be the steric hindrance due to a methyl group at its 2-position. In fact, 2,4,6-trimethylnonan-3,5,6-trione (**1b**) was slightly less reactive than **1a** (entries 1 and 2). However, low reactivity of **1c** can mainly be attributed to the TBDPS group, since **1c** was much less reactive than **1b** (entry 2 vs entry 4). As in the case of **1c**, 1,3,5-triketones **1g** and **1j**, which have a TBDPS group, were much less reactive than **1a** and **1b**, despite the fact that **1g** and **1j** did not have an additional methyl group at the  $\alpha$ -position of the carbonyl group (entries 10 and 13). The TBDPS group is conventionally used to protect a hydroxyl group in 1,3,5-triketones but is not suitable for the present catalytic dehydrative cyclization.

Therefore, we examined protecting groups for the hydroxyl group in 1,3,5-triketone to improve their reactivities. Surprisingly, we found that 1,3,5-triketone 1d, the hydroxyl group of which is protected by a pivaloyl group, showed high reactivity (82% yield, entry 6). When the reaction was conducted for 24 h,  $\gamma$ -pyrone **2d** was obtained in 95% yield. Since 1d was more reactive than 1a and 1b, the pivaloyl group may effectively promote the acid-catalyzed dehydrative cyclization of 1,3,5-triketones. This rate-accelerating effect of a pivaloyl group can be attributed to intramolecular neighboring-group participation, since the reaction of 1b in the presence of methyl pivalate (1 equiv) gave the same result (65% yield, entry 3) as that of 1b in the absence of the additive (entry 2). When the reaction of 1d was conducted in toluene, the product 2d was obtained in low yield (50%, entry 7). This lower reactivity in toluene of 1d may be attributed to the stronger solvatation, which resulted in inhibition of the neighboring-group participation. 1,3,5-Triketones 1h and 1k were also much more reactive than 1g and 1j (entries 10, 11, 13, and 14). The pivaloyl group of 2d can be removed in 93% yield using  $LiAlH_4$  (2.0 equiv) without a loss of optical purity.

Further investigation of the protecting group for the hydroxyl group revealed that 1,3,5-triketone **1e**, which has a *p*-methoxybenzoyloxy group, was more reactive than **1d** (Table 1, entry 8). This rate-accelerating effect may be attributed to the insolubility of the corresponding  $\gamma$ -pyrone **2e** under the reaction conditions, in addition to the neighboring-group participation of the *p*-methoxybenzoyloxy group. It is conceivable that the catalyst preferentially activated **1e** and efficiently promoted the reaction because **2e** was insoluble. In fact, benzyl-protected 1,3,5-triketone **1f**, which gave insoluble  $\gamma$ -pyrone **2f**, was slightly more reactive than **1b** (entry 9), despite the fact that 1,3,5-triketone **1f** did not have an acyloxy group. 1,3,5-Triketones **1i** and **1l** also gave insoluble  $\gamma$ -pyrones **2i** and **2l**, and were more reactive than **1h** and **1k** (entries 11, 12, 14, and 15).

We next investigated the dehydrative cyclization of 1,3,5,7-tetraketones **4** (Table 2). In principle, the reaction of **4** would produce  $\gamma$ -pyrones **5** and **6**. When the reaction of 1,3,5,7-tetraketone **4a** was conducted with C<sub>6</sub>F<sub>5</sub>SO<sub>3</sub>H (5 mol %) in heptane under heating conditions (80 °C) without the removal of water, a 1:1 mixture of **5a** and **6a** was obtained in 39% yield, along with some byproducts (entry

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<sup>(8)</sup> For the synthesis of 1,3,5-triketones 1, see Supporting Information.

**Table 2.** Dehydrative Cyclization of 1,3,5,7-Tetraketones **4** to  $\gamma$ -Pyrones **5** and **6**<sup>*a*</sup>



<sup>*a*</sup> Reaction of **4** (0.1 mmol) was carried out with  $C_6F_5SO_3H$  (5 mol %) in cyclohexane (2 mL) under azeotropic reflux conditions for 8 h. <sup>*b*</sup> Determined by <sup>1</sup>H NMR analysis. <sup>*c*</sup> The reaction was conducted in heptane (2 mL) at 80 °C without the removal of water.

1). The generation of byproducts can be attributed to decomposition by water. In fact, the reaction of 4a in cyclohexane (bp = 80.7 °C) under azeotropic reflux conditions increased the yield of 5a and 6a (68%), while the ratio of 5a and 6a did not change (entry 2). As in the case of 1,3,5,7-tetraketone **4b** bearing a *p*-methoxybenzoyloxy group, the reactivity increased and the corresponding  $\gamma$ -pyrones **5b** and 6b were obtained in 83% yield under azeotropic reflux conditions (entry 3). Interestingly, the reaction of 4b gave a 2:1 mixture of **5b** and **6b**. This preferential production of **5b** might be attributed to the intramolecular participation of the *p*-methoxybenzoyl group. In contrast, 1,3,5,7-tetraketone 4c, bearing a TBDPS group, showed reactivity similar to 4a, and gave a 1:1 mixture of 5c and 6c (entry 5). To improve the selectivity of **5b**, we examined several acid catalysts. We were pleased to find that the use of bulky Brønsted acid catalysts such as trifluoromethanesulfonimide (Tf<sub>2</sub>NH) gave a better result (5b/6b = 3:1, entry 4).

On the basis of the results described above, we propose a mechanism for rate acceleration by the intramolecular pivaloyl group and for rate deceleration by the TBDPS group, as shown in Scheme 2. 1,3,5-Triketone 1d is thought to be in equilibrium with enol 7c, as well as 7a and 7b. Intramolecular hydrogen bondings restrict the free rotation of the C5–C6 bond of 7a and the C4–C5 bond of 7b. In contrast, intramolecular participation of the pivaloyl group in 7c resulted in free rotation of the C4–C5 bond, which helped 7c to adopt a conformation suitable for cyclization. Furthermore, this intramolecular participation increased the nucleophilicity of the enol oxygen. After protonation at the C-7 carbonyl oxygen of 7c, cyclization proceeded rapidly with the assistance of intramolecular abstraction of the enol proton by the pivaloyl group.<sup>9</sup>

Scheme 2. Proposed Mechanism for the Dehydrative Cyclization of 1d with the Aid of Neighboring-Group Participation



The preferential formation of **5b** in the dehydrative cyclization of 1,3,5,7-tetraketone **4b** could also be explained by the neighboring-group participation of the *p*-methoxybenzoyl group (Scheme 3). The *p*-methoxybenzoyl group was

Scheme 3. Proposed Mechanism for the Preferential Production of **5b** in the Dehydrative Cyclization of **4b** 



thought to form an intramolecular hydrogen bond with the closest enol proton. The enol oxygen at the C-7 position, which would be activated through intramolecular hydrogen bonding, could rapidly react with the protonated C-3 carbonyl group to give **5b** preferentially. In contrast, cyclization of the enol oxygen at the C-9 position to the C-5 carbonyl group would proceed slowly due to steric hindrance around the C-5 carbonyl group. It is conceivable that  $Tf_2NH$ , a bulky Brønsted acid, would increase the preference for the protonation at the terminal C-3 carbonyl group, which improved the ratio of **5b** and **6b**.

<sup>(9)</sup> The neighboring-group participation of the acyl protecting groups might explain the rate acceleration for the reaction of 1,3,5-triketones 1d and 1e, as we proposed in Scheme 2. However, this neighboring-goup participation is still controversial, especially for the reaction of 1h, 1i, 1k, and 1l, which might proceed via a 9- or 11-membered cyclic transition state. A detailed mechanistic study on the rate-accelerating effect by the acyl groups is currently underway.

 Table 3.
 <sup>13</sup>C NMR Data of 5-Substituted 2-Pentanones 8 and 2-Hexanone (9)

entry	$R \xrightarrow{O}_{5 3^2 1}$	$^{13}$ C NMR (ppm) in C <sub>6</sub> D <sub>12</sub>		
		C1	C2	C3
1	R = TBDPSO(8a)	29.3	205.6	40.0
2	R = TBSO(8b)	29.3	204.0	39.8
3	R = PivO(8c)	29.3	203.4	39.9
4	$\mathbf{R} = \mathbf{Me}(9)$	29.2	203.5	43.4

To explore the effect of TBDPS group on the reactivity of 1,3,5-triketones 1 and 1,3,5,7-tetraketones 5, we compared the <sup>13</sup>C NMR data of 5-substituted 2-pentanones 8 and 2-hexanone (9) in  $C_6D_{12}$  (Table 3). All compounds we

Scheme 4. Proposed Mechanism for the Rate-Decelerating Effect of TBDPS Group in 1c



examined showed almost same chemical shifts for C-1 (29.3 ppm) and C-3 (39.9 ppm), except that the C-3 carbon of **9**. However, the chemical shifts for C-2 carbons of **8a** (205.6 ppm) and **8b** (204.0 ppm), which had a silyoxy group, were slightly higher than those (203.4 ppm) of other compounds without a siloxy group. These results imply the existence of intramolecular interaction between a TBDPS group and carbonyl oxygen. In the case of **1c**, the TBDPS group would interact with the C-3 carbonyl oxygen (Scheme 4). This interaction might preclude enol formation at the C-3 carbonyl group and decrease its basicity and nucleophilicity. The low nucleophilicity of the C-3 carbonyl oxygen would decrease the rate of cyclization of **1c**.

In conclusion, we found a rate-accelerating effect in the Brønsted acid-catalyzed dehydrative cyclization of 1,3,5-triketones by the neighboring-group participation of acyl protecting groups. This rate-accelerating effect provided **2d** in high yield, which could be easily converted to a common synthetic intermediate for  $\gamma$ -pyrone-containing bioactive natural products. Furthermore, the Tf<sub>2</sub>NH-catalyzed dehydrative cyclization of 1,3,5,7-tetraketone **4b** preferentially gave  $\gamma$ -pyrone **5b** with the aid of the acyloxy group.

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**Supporting Information Available:** Experimental details, spectroscopic data for all new compounds, and <sup>1</sup>H and <sup>13</sup>C NMR charts of products. This material is available free of charge via the Internet at http://pubs.acs.org.

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